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UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/878,720	06/11/2001	Martin James Walker	B-4209 618873-3

CONFIRMATION NO. 2069

FORMALITIES LETTER



OC000000006387346

Richard P. Berg
c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, CA 90036-5679

Date Mailed: 08/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$520.
 - \$360 for 20 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1360.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/18/2001 09878720 00000062 120415 09878720
01 FC:103 360.00 OP
02 FC:105 130.00 OP
03 FC:101 740.00 OP
04 FC:102 130.00 OP
38.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Martin James WALKER, et al.
Serial No.: 09/878,720
Filed: June 11, 2001
For: "BUSINESS INNOVATION METHOD AND SYSTEM"
Group Art Unit: 2161
Examiner: unknown
Our Ref.: B-4209 618873-3
Date of this paper: October 8, 2001

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 08/07/2001 (copy attached).

DECLARATION OR OATH

- ☒ Please find enclosed the Declaration/Power signed by inventor Adrian Stock.
- ☒ Please find enclosed the Declaration/Power signed by inventors Martin James WALKER, Ray Giles, Christopher Geary, Christopher Wood, Robert Kitchen, Dag Tungvaag, and Christopher Corcoran.
- ☒ Please find enclosed a Notice Regarding Correct Names of Inventors
- ☒ Please find enclosed a revised New Application Transmittal Letter listing the correct names of the inventors and a copy of the original New Application Transmittal Letter as filed on June 11, 2001.

AMENDMENT OF CLAIMS

- ☐ Please cancel claims _____ inclusive
- ☐ Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

- ☒ Filing fee (37 CFR 1.16(a) - 710.00) \$710.00
(small entity - 355.00)
- ☒ Fees for claims
 - ☒ 2 Independent claims in excess of 3
(37 CFR 1.16(b) - 80.00) \$160.00
(small entity - 40.00)
 - ☒ 20 Claims in excess of 20
(37 CFR 1.16(c) - 18.00) \$360.00
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

BEST AVAILABLE COPY

Date: October 8, 2001

Karyn Lao
(Typed or printed name of person mailing paper)

[Signature]
(Signature of person mailing paper)
Completion of Filing Requirements (Page 1 of 2)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00)

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00)

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h)(i) 40.00)

☐ Attached is an assignment to

Total fees: \$1,360.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$1,360.00

☐ Charge Account No. 12-0415 in the amount of _____
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

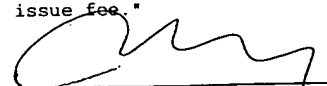
☒ The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."


Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Martin James WALKER) Group: 2161
et al.)
Serial No.: 09/878,720) Examiner: Not yet assigned
Filed: June 11, 2001)
For: "BUSINESS INNOVATION)
METHOD AND SYSTEM") Date: October 8, 2001

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

NOTICE REGARDING CORRECT NAMES OF INVENTORS

The papers for this application were submitted on June 11, 2001.

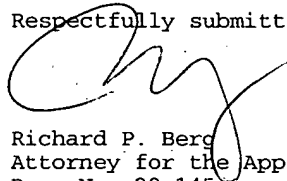
At the time of the original submission, the seventh inventor, Dag Tungvaag, was incorrectly listed on the New Application Transmittal Letter as Dag Tungvåg.

As set forth in the executed Declaration/Power of Attorney forms filed concurrently herewith and the enclosed revised New Application Transmittal Letter, the inventors for this application should be listed as:

(1) Martin James WALKER	(2) Ray Giles
(3) Christopher Geary	(4) Christopher Wood
(5) Adrian Stock	(6) Robert Kitchen
(7) Dag Tungvaag	(8) Christopher Corcoran.

The misspelling of inventor Dag Tungvaag in the original application papers was a clerical error. A copy of the original New Application Transmittal Letter as filed on June 11, 2001 is also enclosed herewith.

Respectfully submitted,


Richard P. Berg
Attorney for the Applicant
Reg. No. 28,145

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Enclosure: Revised New Application Transmittal Letter (3 pages)
copy of original New Application Transmittal Letter as filed on
June 11, 2001 (3 pages)